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PCT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GARNIER, et al.

International Application No.  
PCT/FR98/02765

International Filing Date: 12/17/98

U.S. Serial No.: 09/582,049

Filing Date: June 12, 2000

For: SILICON REFINING METHOD AND  
INSTALLATION



Atty. Dkt: GARNIER-1

I hereby certify that this correspondence and/or fee  
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(Date of Deposit)

9-11-00 Shawn Burkhardt

(Date)

Box PCT  
Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

**RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)"**

Dear Sir:

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) received and dated July 18, 2000, enclosed please find:

1. Declaration and Power of Attorney for patent application, signed 24 August 00 by inventors;
2. Assignment from Inventors to CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE dated 24 August 2000 with Patent Office cover sheet;
4. Petition for a 1-month extension of time under 37 C.F.R. 1.17(a);
5. Copy of Form PCT/DO/EO/917 (September 1996);

Adjustment date: 10/11/2000 SSANDAR1  
09/19/2000 BHABTEW 00000020 09582049  
02 FC:105 -130.00 OP  
01 X:115

Adjustment date: 10/11/2000 SSANDAR1  
09/19/2000 BHABTEW 00000020 09582049  
02 FC:105 -130.00 OP

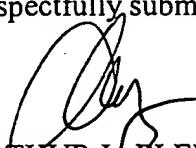


6. Check No. 2531 for \$80.<sup>m</sup> which includes:

- A) \$40.00 Assignment recording fee;
- B) \$110.00.00 for 1-month extension of time;
- C) \$30.<sup>m</sup> surcharge for late filing of declaration

If there are any other fees due and owing, please charge Deposit Account No. 50-1057.

Respectfully submitted,



ARTHUR L. PLEVY  
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ALP/sb



UNITED STATES DEPARTMENT OF COMMERCE  
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OCT 5 2000  
SEP 15 2000  
PATENT & TRADEMARK OFFICE

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/582,049

GARNIER

M GARNIER-1  
INTERNATIONAL APPLICATION NO.

5071

BUCHANAN INGERSOLL  
650 COLLEGE ROAD EAST  
4TH FLOOR  
PRINCETON NJ 08540

PCT/FR98/02765

LA. FILING DATE PRIORITY DATE

12/17/98 12/19/97  
DATE MAILED: 07/18/00

### NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

1.  is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2.  does not identify the specification to which it is directed.
3.  does not identify the inventor(s).
4.  does not identify the citizenship of each inventor.
5.  does not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1.  does not identify the city and state or city and foreign country of residence or each inventor.
2.  does not state that the person making the oath or declaration:
  - a.  has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
  - b.  acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3.  does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4.  does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).

Francine Young  
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Paralegal Specialist